## On the maximum number of minimum dominating sets minimum total dominating sets and maximum independent sets

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Universität Ulm

Joint work with Alvarado, Dantas, Henning, and Mohr.

Fricke, Hedetniemi, Hedetniemi, and Hutson '11

Does every tree with domination number  $\gamma$  have at most  $2^{\gamma}$  min-

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Fricke, Hedetniemi, Hedetniemi, and Hutson '11 Does every tree with domination number  $\gamma$  have at most  $2^{\gamma}$  min-

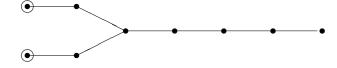
Does every tree with domination number  $\gamma$  have at most 2 minimum dominating sets?

Fricke, Hedetniemi, Hedetniemi, and Hutson '11 Does every tree with domination number  $\gamma$  have at most  $2^{\gamma}$ 

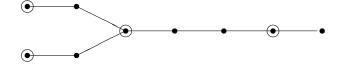
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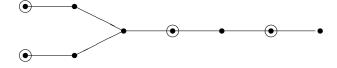
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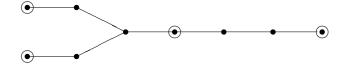
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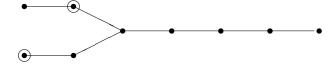


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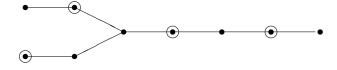
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$$3+5+5+5=18>16=2^4$$

Disjoint unions of Bień's tree yield forests with

 $18^{\frac{\gamma}{4}} \approx 2.0598^{\gamma}$ 

Disjoint unions of Bien's tree yield forests with

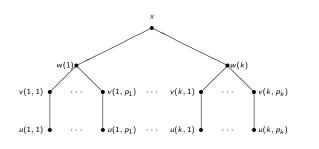
$$18^{\frac{\gamma}{4}} \approx 2.0598^{\gamma}$$

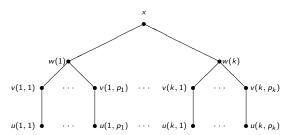
minimum dominating sets.

## Conjecture (ADMR '18)

A tree with domination number  $\gamma$  has at most

$$O\left(\frac{\gamma 2^{\gamma}}{\ln \gamma}\right)$$





$$v(1,1)$$
  $v(1,p_1)$   $v(k,p_k)$   $v(k,p_k)$   $v(k,p_k)$   $v(k,p_k)$ 

$$+ \quad \left( (\gamma - 1) \bmod k \right) 2^{\left \lceil \frac{\gamma - 1}{k} \right \rceil} \left( 2^{\left \lceil \frac{\gamma - 1}{k} \right \rceil} - 1 \right)^{\left( (\gamma - 1) \bmod k \right) - 1} \left( 2^{\left \lfloor \frac{\gamma - 1}{k} \right \rfloor} - 1 \right)^{k - \left( (\gamma - 1) \bmod k \right)}$$

$$+ \quad \left( k - \left( (\gamma - 1) \bmod k \right) \right) \left( 2^{\left \lceil \frac{\gamma - 1}{k} \right \rceil} - 1 \right)^{\left( (\gamma - 1) \bmod k \right)} 2^{\left \lfloor \frac{\gamma - 1}{k} \right \rfloor} \left( 2^{\left \lfloor \frac{\gamma - 1}{k} \right \rfloor} - 1 \right)^{k - \left( (\gamma - 1) \bmod k \right) - 1}$$

## Theorem (ADMR '18)

A forest with domination number  $\gamma$  has at most

 $2.4606^{\gamma}$ 

## Theorem (ADMR '18)

A forest with domination number  $\gamma$  has at most

 $2.6180^{\gamma}$ 



$$f(\gamma, s) \le \alpha^s \beta^{\gamma - s},$$

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where 
$$\beta^2-3\beta+1=0$$
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$$\beta \approx$$
 2.618 and  $\alpha \approx$  1.618.

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$$f(1,0)=2<\beta.$$

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$$f(1,0) = 2 < \beta.$$
  
$$f(\gamma, \gamma) = 1 < \alpha^{\gamma}.$$

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$$f(1,0) = 2 < \beta.$$
  
 $f(\gamma, \gamma) = 1 < \alpha^{\gamma}.$ 

Suppose, for a contradiction,

$$f(\gamma, s) > \alpha^s \beta^{\gamma - s}$$

with  $\gamma$  minimum.

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where  $\beta^2-3\beta+1=0$  and  $\alpha=\frac{\beta}{\beta-1}$ , that is,

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Consider a corresponding forest F.

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Some component T of F is not a star.

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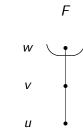
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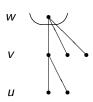
Some component T of F is not a star.

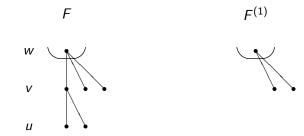
Consider a longest path uvw... in T.

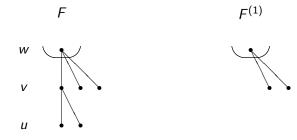
#### Case 1



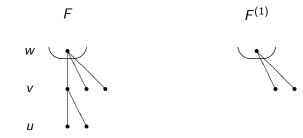




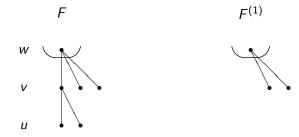




$$f(\gamma, s)$$



$$f(\gamma, s) \leq f(\gamma - 1, s - 1)$$

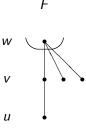


$$f(\gamma, s) \le f(\gamma - 1, s - 1) \le \alpha^{s - 1} \beta^{\gamma - s}$$



$$f(\gamma, s) \le f(\gamma - 1, s - 1) \le \alpha^{s-1} \beta^{\gamma - s} < \alpha^s \beta^{\gamma - s}$$
.

## Case 2

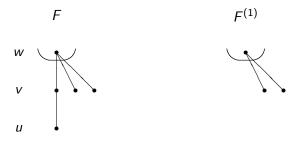


Case 2 w is a strong support vertex but not v.

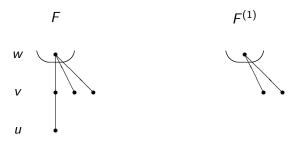




 $f(\gamma, s)$ 



$$f(\gamma,s) \leq 2f(\gamma-1,s)$$



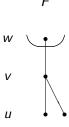
$$f(\gamma, s) \le 2f(\gamma - 1, s) \le 2\alpha^s \beta^{\gamma - s - 1}$$

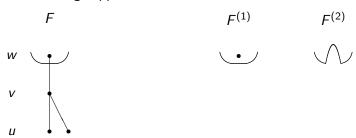


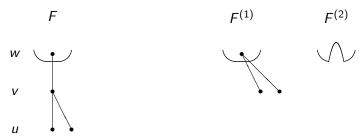
$$f(\gamma, s) \le 2f(\gamma - 1, s) \le 2\alpha^s \beta^{\gamma - s - 1} \stackrel{\beta > 2}{<} \alpha^s \beta^{\gamma - s}.$$

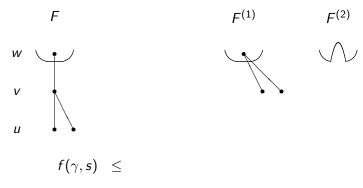
## Case 3

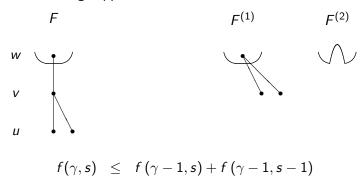
Case 3 v is a strong support vertex but not w.

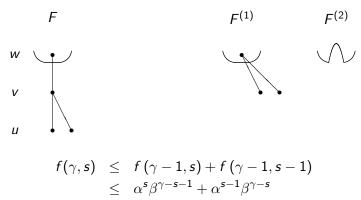


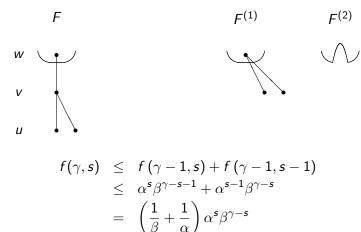


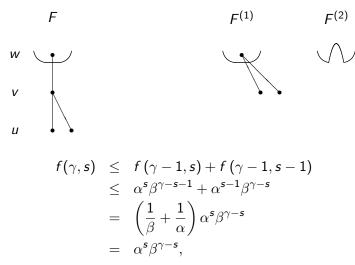




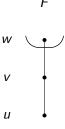


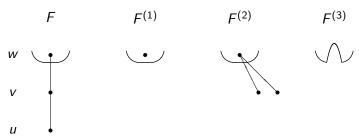


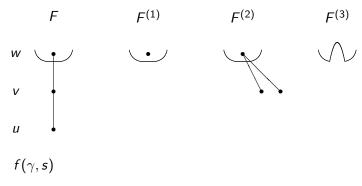


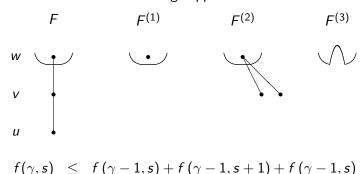


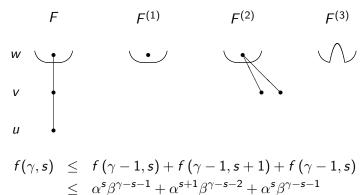
#### Case 4

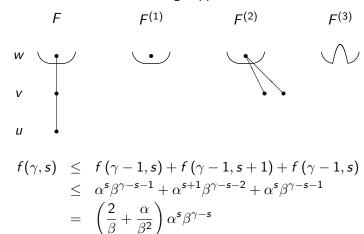


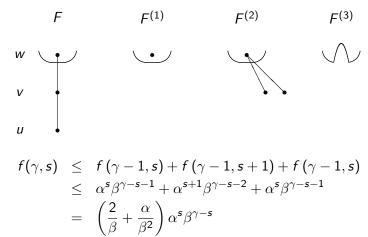




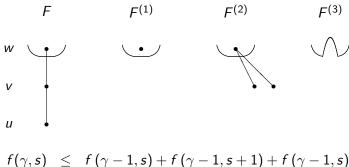








## Case 4 v and w are both no strong support vertices.

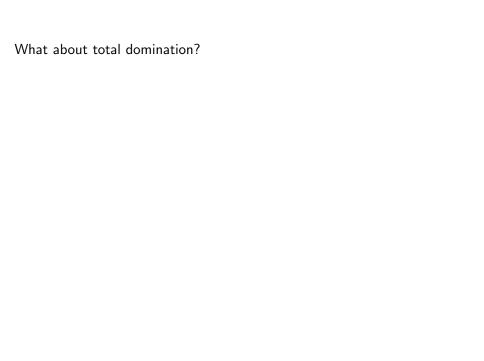


$$f(\gamma, s) \leq f(\gamma - 1, s) + f(\gamma - 1, s + 1) + f(\gamma - 1, s)$$

$$\leq \alpha^{s} \beta^{\gamma - s - 1} + \alpha^{s + 1} \beta^{\gamma - s - 2} + \alpha^{s} \beta^{\gamma - s - 1}$$

$$= \left(\frac{2}{\beta} + \frac{\alpha}{\beta^{2}}\right) \alpha^{s} \beta^{\gamma - s}$$

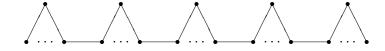
$$= \alpha^{s} \beta^{\gamma - s}.$$



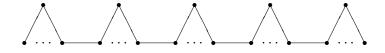
## What about total domination?



#### What about total domination?



#### What about total domination?



## Conjecture (HMR '18)

If a tree T has order n at least 2 and total domination number  $\gamma_{\rm t}$ , then T has at most

$$\left(\frac{n-\frac{\gamma_t}{2}}{\frac{\gamma_t}{2}}\right)^{-1}$$

If a forest F has order n, no isolated vertex, and total domination number  $\gamma_t$ , then F has at most

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```
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If a forest F has order n, no isolated vertex, and total domination number  $\gamma_t$ , then F has at most

$$\gamma_t$$
, then F has at most 
$$\min \left\{ \qquad \left(8\sqrt{e}\right)^{\gamma_t} \left(\frac{n-\frac{\gamma_t}{2}}{\frac{\gamma_t}{2}}\right)^{\frac{\gamma_t}{2}} \qquad , \qquad \qquad , \qquad \qquad \right\}$$

If a forest F has order n, no isolated vertex, and total domination number  $\gamma_t$ , then F has at most

```
\gamma_t, then F has at most \min \left\{ \qquad \left(8\sqrt{e}\right)^{\gamma_t} \left(\frac{n-\frac{\gamma_t}{2}}{\frac{\gamma_t}{2}}\right)^{\frac{\gamma_t}{2}} \qquad , \quad e^{n-\gamma_t} \qquad , \qquad \right\}
```

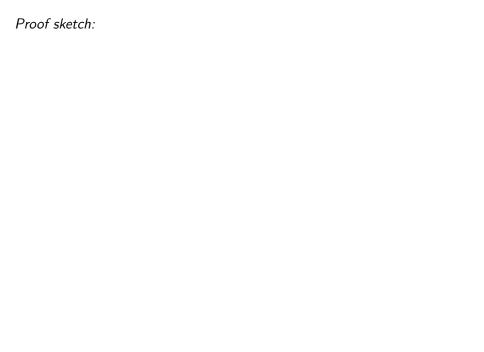
If a forest F has order n, no isolated vertex, and total domination number  $\gamma_t$ , then F has at most

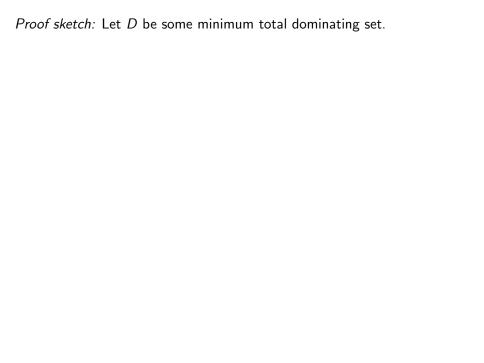
```
\min \left\{ \left( 8\sqrt{e} \right)^{\gamma_t} \left( \frac{n - \frac{\gamma_t}{2}}{\frac{\gamma_t}{2}} \right)^{\frac{tt}{2}} , e^{n - \gamma_t} , 1.4865^n \right\}
```

If a forest F has order n, no isolated vertex, and total domination number  $\gamma_t$ , then F has at most

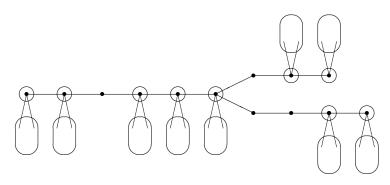
$$\min \left\{ \left( 8\sqrt{e} \right)^{\gamma_t} \left( \frac{n - \frac{\gamma_t}{2}}{\frac{\gamma_t}{2}} \right)^{\frac{\gamma_t}{2}} \quad , \quad e^{n - \gamma_t} \quad , \quad 1.4865^n \quad \right\}$$

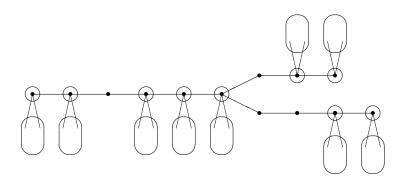
$$\left(\frac{n-\frac{\gamma_t}{2}}{\frac{\gamma_t}{2}}\right)^{\frac{\gamma_t}{2}+o\left(\frac{n}{\gamma_t}\right)}$$

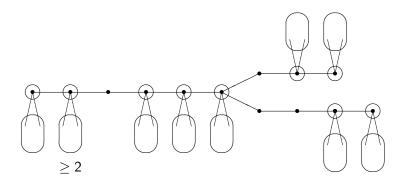


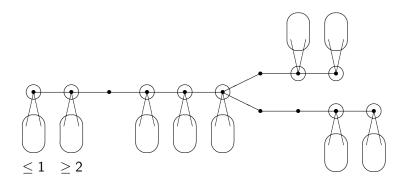


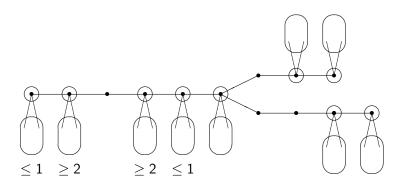
*Proof sketch:* Let D be some minimum total dominating set. T = T' + endvertices attached to the vertices in D.

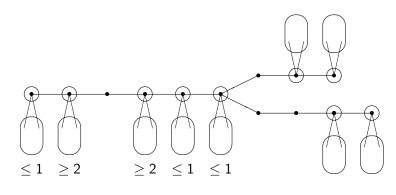


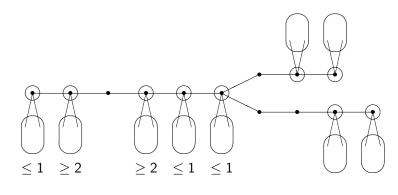






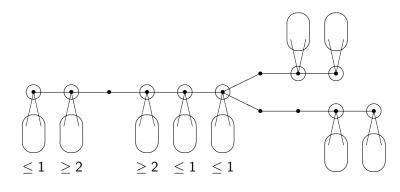






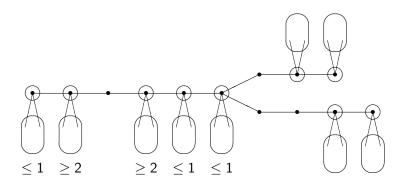
$$n(T') \leq 2\gamma_t$$
.

$$\ell(1) \cdot \ell(2) \cdot \ldots \cdot \ell\left(\frac{\gamma_t}{2}\right)$$



$$n(T') \leq 2\gamma_t$$
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$$\ell(1) \cdot \ell(2) \cdot \ldots \cdot \ell\left(\frac{\gamma_t}{2}\right) \leq \left(\ell(1) + \ell(2) + \cdots + \ell\left(\frac{\gamma_t}{2}\right)\right)^{\frac{\gamma_t}{2}}$$



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.

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What about independence?

What about independence?

#### Theorem (MR '18)

If T is a tree of order n and independence number  $\alpha$ , then T has at most

$$\left\{ egin{array}{ll} 2^{n-lpha-1}+1 & ext{, if } 2lpha=n ext{, and} \ 2^{n-lpha-1} & ext{, if } 2lpha>n \end{array} 
ight.$$

maximum independent sets.

Furthermore, equality holds if and only if T arises by subdividing  $n-\alpha-1$  edges of  $K_{1,\alpha}$  once.

#### Theorem (Turán '41)

For  $n, p \in \mathbb{N}$ , the graph  $T_{p-1}(n)$  maximizes m(G) among all graphs G of order n with no p-clique.

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$$\sharp \omega^{(q)}(G)$$

be the number of q-cliques in G.

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$$m(G)=\sharp\omega^{(2)}(G).$$

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Let n, q, and p be integers with  $2 \le q .$ 

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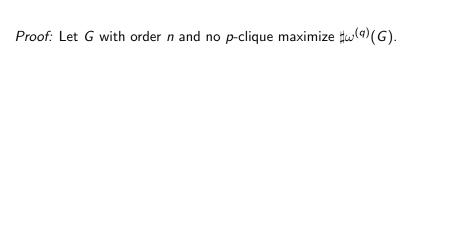
# Theorem (Zykov '49)

Let n, q, and p be integers with  $2 \le q .$ If G is a graph of order n with no p-clique, then

$$\sharp \omega^{(q)}(G) \leq \sharp \omega^{(q)}(T_{p-1}(n))$$

with equality if and only if  $G = T_{p-1}(n)$ .

## Proof:



*Proof:* Let G with order n and no p-clique maximize  $\sharp \omega^{(q)}(G)$ .

Let  $G_0$  arise from G by removing all edges that do not belong to a q-clique.

Let  $G_0$  arise from G by removing all edges that do not belong to a g-clique.

Let 
$$G_0$$
 arise from  $G$  by removing all edges that do not belong to a  $q$ -clique  $\sharp \omega^{(q)}(G_0) = \sharp \omega^{(q)}(G)$ .

Let  $G_0$  arise from G by removing all edges that do not belong to a q-clique.

$$\sharp \omega^{(q)}(G_0) = \sharp \omega^{(q)}(G).$$

Let  $d^{(q)}(u)$  be the number of q-cliques that contain u.

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$$\sharp \omega^{(q)}(G_0) = \sharp \omega^{(q)}(G).$$

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## Claim

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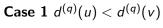
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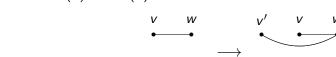
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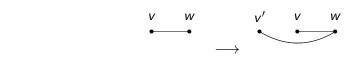






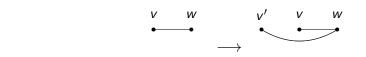








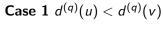


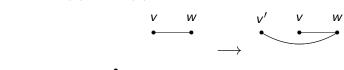






 $\sharp \omega^{(q)}(G_0)$ 





 $\sharp \omega^{(q)}(G_0) - d^{(q)}(u)$ 





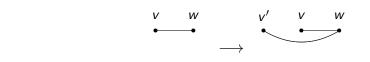




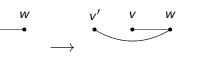








 $\sharp \omega^{(q)}(G_0) - d^{(q)}(u) + d^{(q)}(v)$ 



 $G_0 - u + v'$  has

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*q*-cliques.



Case 2 
$$d^{(q)}(u) \ge d^{(q)}(v), d^{(q)}(w)$$

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$$\begin{array}{cccc} & & & & & & & \\ & & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & \\ &$$

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$$\begin{matrix} v & w \\ \bullet & \bullet \\ & & \bullet \\ u & u & u' & u'' \end{matrix}$$

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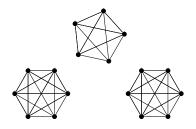


Figure: The graph  $G(17,3) = \overline{T}_3(17)$ 

Moon and Moser's result ('65) on the maximum number of maximal independent sets implies

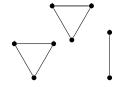
$$\sharp \alpha(G) \leq \begin{cases} 3^{\frac{n}{3}} & \text{, if } n \mod 3 = 0, \\ 4 \cdot 3^{\frac{n-4}{3}} & \text{, if } n \mod 3 = 1, \text{ and} \\ 2 \cdot 3^{\frac{n-2}{3}} & \text{, if } n \mod 3 = 2, \end{cases}$$

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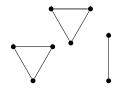
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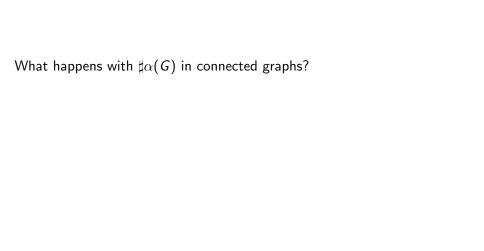
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Griggs, Grinstead, and Guichard have shown a similar result for connected graphs.



What happens with  $\sharp \alpha(G)$  in connected graphs?

Derikvand and Oboudi '14 made a conjecture concerning

 $\max \Big\{ \quad \sharp \alpha(G): \quad G \text{ is a connected graph of order } n$  and independence number  $\alpha \Big\},$ 

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which they verify for  $\alpha \in \{1, 2, n-3, n-2, n-1\}$ .

For  $\frac{n}{\alpha} \geq 2$  define  $F(n, \alpha)$  as the graph with  $\alpha$  cliques,

 $V(G) = C_0 \dot{\cup} \dots \dot{\cup} C_{\alpha-1}$  of order  $\lceil \frac{n}{\alpha} \rceil$  and  $\lceil \frac{n}{\alpha} \rceil$ . The only other edges of  $F(n,\alpha)$  are incident to a vertex  $x_0$  of the largest clique that has exactly one neighbor in every other clique.

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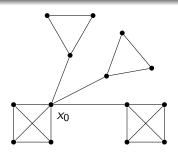


Figure: The graph F(14,4)

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$$\mathcal{F}(n,\alpha) = \begin{cases} \{F(n,\alpha), C_5\} & \text{if } n = 5 \text{ and } \alpha = 2\\ \{F(n,\alpha)\} & \text{otherwise.} \end{cases}$$

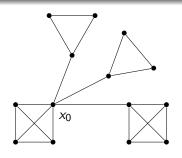


Figure: The graph F(14,4)

For  $\frac{n}{\alpha} < 2$  define  $\mathcal{F}(n,\alpha)$  as the class of all connected graphs F such that there is a vertex  $x_0$  with the property that  $F - x_0 = G(n-1,\alpha)$ .

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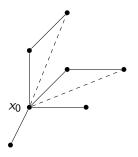
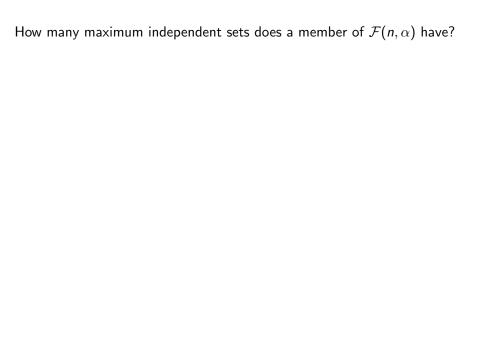


Figure: A member of  $\mathcal{F}(7,4)$ , the dashed lines stand for possible edges



How many maximum independent sets does a member of  $\mathcal{F}(\textit{n}, \alpha)$  have?

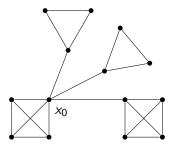


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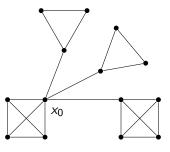


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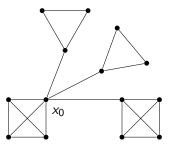


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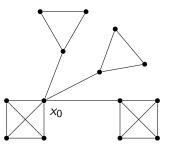


Figure: The graph F(14,4)

$$f(n,\alpha) = g(n-1,\alpha) + \left(\left|\frac{n}{\alpha}\right| - 1\right)^{\alpha - n \operatorname{mod} \alpha} \cdot \left(\left[\frac{n}{\alpha}\right] - 1\right)^{n \operatorname{mod} \alpha - 1}$$

## Theorem

If G is a connected graph of order n and independence number  $\alpha$  with  $\alpha < n$ , then  $\sharp \alpha(G) \leq f(n,\alpha)$  with equality if and only if G is isomorphic to a graph in  $\mathcal{F}(n,\alpha)$ .



Suppose that the theorem fails, and that n is the smallest order of a counterexample  $G_0$ .

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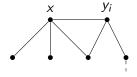
Let the vertex x of  $G_0$  maximize  $\sharp \alpha(G_0,x)$ . Define  $N:=N_{G_0}[x]$ .

 $G_0, \ldots, G_k$ 

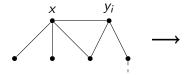
applying the Moon-Moser operation:

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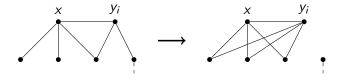


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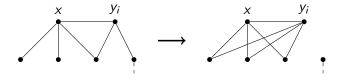
applying the *Moon-Moser operation*: If there is a vertex  $y_i \in N$  that is no cutvertex of  $G_{i-1}$  and  $N_{G_{i-1}}[y_i] \neq N$ , we construct  $G_i$  by turning  $y_i$  into a true twin of x.



$$\sharp \alpha(G_i)$$

$$G_0,\ldots,G_k$$

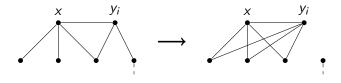
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$$G_0,\ldots,G_k$$

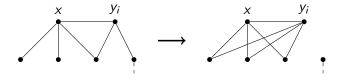
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$$G_0,\ldots,G_k$$

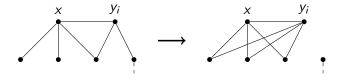
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$$\sharp \alpha(G_i) = \sharp \alpha(G_{i-1}) + \sharp \alpha(G_{i-1}, x) - \sharp \alpha(G_{i-1}, y_i)$$

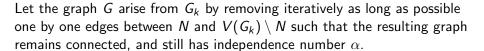
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$$\sharp \alpha(G_i) = \sharp \alpha(G_{i-1}) + \sharp \alpha(G_{i-1},x) - \sharp \alpha(G_{i-1},y_i) \geq \sharp \alpha(G_{i-1})$$

Let the graph G arise from  $G_k$  by removing iteratively as long as possible one by one edges between N and  $V(G_k) \setminus N$  such that the resulting graph remains connected, and still has independence number  $\alpha$ .



Note that the following holds for *G*:

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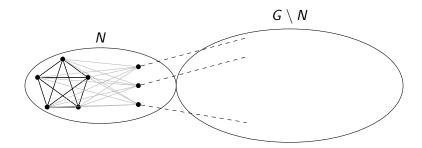
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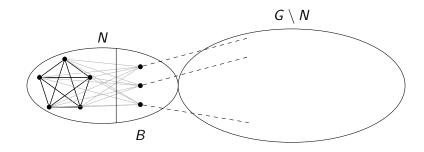
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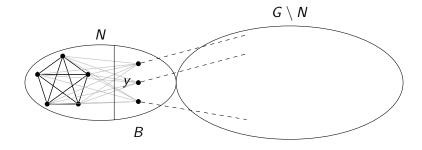
It is possible to show that:

#### Claim

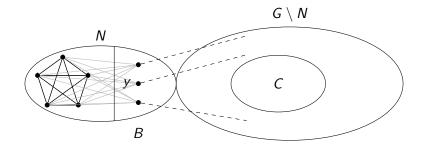
G is isomorphic to a graph in  $\mathcal{F}(n,\alpha)$ .



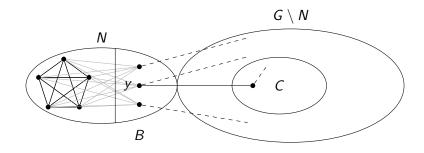




There is a vertex y in B,



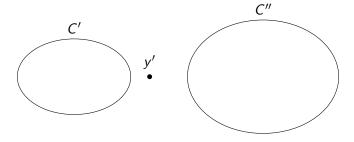
There is a vertex y in B, and a private component C of y



There is a vertex y in B, and a private component C of y such that C has order at least 2, and y has exactly one neighbor in V(C).

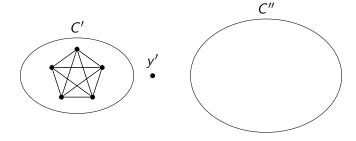
y

#### Claim

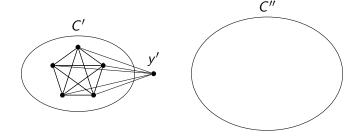


The graph G has a cutvertex y' such that

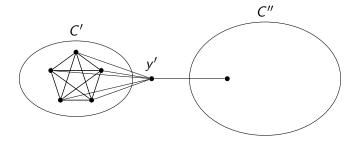
• G - y' has exactly two components C' and C'',



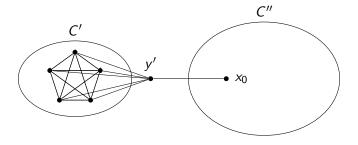
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# Thank you!